



3747

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

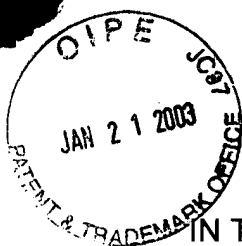
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/431,371
	<b>Filing Date</b>	November 1, 1999
	<b>First Named Inventor</b>	Frank A. Scarpino
	<b>Group Art Unit</b>	3747
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	TRD 021 PA

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Robert L. Showalter, Stevens & Showalter, L.L.P.
Signature	<i>Robert L. Showalter</i>
Date	January 13, 2003

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>January 13, 2003</b>	
Typed or printed name	Robert L. Showalter
Signature	<i>Robert L. Showalter</i>
Date	1/13/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#12 2-9-03  
IDS w/Refers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Frank A. Scarpino and Arturo H. Garcia  
Serial No. : 09/431,371  
Filed : November 1, 1999  
Title : DIGITAL FILTER  
Docket : TRD 021 PA  
Art Unit : 3747

RECEIVED  
JAN 24 2003  
TECHNOLOGY CENTER 3747

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 13, 2003

*[Signature]*

Attorney

Reg. No. 33,579

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

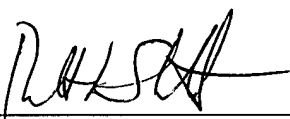
Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR § 1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR § 1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR § 1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

Serial No. 09/431,371  
Docket No. TRD 021 PA

A listing of all items of information cited is attached on PTO Form PTO/SB/08, substitute form for 1449 /PTO. Copies of the items are enclosed for the convenience of the Examiner.

It is believed that this Supplemental Information Disclosure Statement is being filed within the period set forth in 37 CFR § 1.97(b) because a first Office Action on the merits has not been mailed. Therefore, no fee is required.

Respectfully submitted,  
STEVENS & SHOWALTER, L.L.P.

---

Robert L. Showalter  
Registration No. 33,579

7019 Corporate Way  
Dayton OH 45459-4238  
Telephone: (937) 438-6848  
Facsimile: (937) 438-2124  
E-mail: showalter@speakeasy.net